

10/20/01  
13885 U.S. TO

# UTILITY

## PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1751-289

First Inventor

Dong-Kyoon YOON, et al.

Title LOAN SYSTEM OF FINANCIAL INSTITUTION AND METHOD THEREOF

☒ Assignee Information: NONGSHIM CO., LTD.  
Republic of Korea

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
3. ☒ Specification Total pages [ 14 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 USC 113) (Total Sheets) [ 3 ]
- ☒ Oath or Declaration (Total Pages) [ 2 ]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

**[Note Box 5 below]**

- ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,

6. ☐ Application Data Sheet.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
  - ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
  - ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label 6449

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Date 6.6.01

09/874224  
06/06/01

# **FEE TRANSMITTAL for FY 2001 (Small Entity)**

Complete if Known

|                      |                       |
|----------------------|-----------------------|
| Application Number   | Unassigned            |
| Filing Date          | Concurrently Herewith |
| First Named Inventor | Dong-Kyoon YOON       |
| Examiner Name        | Unassigned            |
| Group Art Unit       | Unassigned            |

Total Amount of Payment (\$ ) 395.00

Attorney Docket Number 1751-289

## **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.

2. ☒ Payment Enclosed:

☐ Check  
☐ Credit Card

## **FEE CALCULATION**

### **1. FILING FEE**

| Fee Code | Fee \$ | Fee Description        | Fee Paid |
|----------|--------|------------------------|----------|
| 204      | 355    | Utility filing fee     | [ 355 ]  |
| 206      | 160    | Design Filing Fee      | [ ]      |
| 207      | 245    | Plant Filing Fee       | [ ]      |
| 208      | 355    | Reissue Filing Fee     | [ ]      |
| 212      | 75     | Provisional Filing Fee | [ ]      |

**SUBTOTAL \$ 355.00**

### **2. CLAIMS**

| Total Claims Independent     | Extra Claims | Fee    | Fee Paid |
|------------------------------|--------------|--------|----------|
| [ 9 ] - 20** = [ 0 ] x       |              | \$ 9 = | [ 0 ]    |
| Claims [ 3 ] - 3** = [ 0 ] x |              | 40 =   | [ 0 ]    |
| Multiple Dependent Claims +  |              | 135 =  | [ 0 ]    |

\*\*or number previously paid, if greater;

**SUBTOTAL \$ 0.00**

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

| Fee Code | Fee Paid | Fee Description  | Fee Paid |
|----------|----------|--|----------|
| 205      | 65       | Surcharge - late filing fee or oath  | [ ]      |
| 227      | 25       | Surcharge - late provisional filing fee or cover sheet                     | [ ]      |
| 139      | 130      | Non-English specification  | [ ]      |
| 147      | 2,520    | For filing a request for reexamination                                     | [ ]      |
| 112      | 920      | Requesting publication of SIR prior to Examiner action                     | [ ]      |
| 113      | 1,840*   | Requesting publication of SIR after Examiner action                        | [ ]      |
| 215      | 55       | Extension for reply within first month                                     | [ ]      |
| 216      | 195      | Extension for reply within second month                                    | [ ]      |
| 217      | 445      | Extension for reply within third month                                     | [ ]      |
| 218      | 695      | Extension for reply within fourth month                                    | [ ]      |
| 228      | 945      | Extension for reply within fifth month                                     | [ ]      |
| 219      | 155      | Notice of Appeal   | [ ]      |
| 220      | 155      | Filing a brief in support of an appeal                                     | [ ]      |
| 221      | 135      | Request for Oral Hearing   | [ ]      |
| 138      | 1,510    | Petition to institute a public use proceeding                              | [ ]      |
| 240      | 55       | Petition to revive -unavoidable  | [ ]      |
| 241      | 640      | Petition to revive - unintentional   | [ ]      |
| 242      | 620      | Utility issue fee (or reissue)   | [ ]      |
| 243      | 220      | Design issue fee   | [ ]      |
| 244      | 300      | Plant issue fee  | [ ]      |
| 122      | 130      | Petitions to the Commissioner  | [ ]      |
| 123      | 50       | Processing fee under 37 CFR 1.17(q)  | [ ]      |
| 126      | 180      | Submission of Information Disclosure Statement                             | [ ]      |
| 581      | 40       | Recording each patent assignment per property (times number of properties) | [ 40 ]   |
| 246      | 355      | Filing a submission after final rejection (37 CFR .129(a))                 | [ ]      |
| 249      | 355      | For each additional invention to be examined (37 CFR 1.129(b))             | [ ]      |
| 279      | 355      | Request for Continued Examination (RCE)                                    | [ ]      |
| 169      | 900      | Request for expedited examination of a design application                  | [ ]      |
| 195      | 300      | Publication fee for early, voluntary, or normal publication                | [ ]      |
| 196      | 300      | Publication fee for republication  | [ ]      |
| 089      | 200      | Filing an application for patent term adjustment                           | [ ]      |
| 090      | 400      | Request for reinstatement of term reduced                                  | [ ]      |
|          |          | Other fee (specify)  | [ ]      |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL \$ 40.00**

|                      |                                       |                          |        |
|----------------------|---------------------------------------|--------------------------|--------|
| SUBMITTED BY         |                                       | Complete (if applicable) |        |
| NAME AND REG. NUMBER | G. Franklin Rothwell, Reg. No. 18,125 |                          |        |
| SIGNATURE            | G. F. Rothwell                        | DATE                     | 6.6.01 |
|                      |                                       | DEPOSIT ACCOUNT USER ID  |        |